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Electronic Data Interchange Specifications

Transaction 997

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BENTELER AUTOMOTIVE
997 Functional Acknowledgment

Functional Group ID=FA

Introduction:
This Draft Standard for Trial Use contains the format and establishes the data contents of the Functional Acknowledgment Transaction Set (997) for use within the context of an Electronic Data Interchange (EDI) environment. The transaction set can be used to define the control structures for a set of acknowledgments to indicate the results of the syntactical analysis of the electronically encoded documents. The encoded documents are the transaction sets, which are grouped in functional groups, used in defining transactions for business data interchange. This standard does not cover the semantic meaning of the information encoded in the transaction sets.

Pos. No.	Seg. ID	Name	Req. Des.	Max. Use	Loop Repeat	Notes and Comments
010	ST	Transaction Set Header	M	1		n1
020	AK1	Functional Group Response Header	M	1		n2
		LOOP ID - AK2			999999	
030	AK2	Transaction Set Response Header	M	1		n3
060	AK5	Transaction Set Response Trailer	M	1		
070	AK9	Functional Group Response Trailer	M	1		
080	SE	Transaction Set Trailer	M	1		

Transaction Set Notes:

1. These acknowledgments shall not be acknowledged, thereby preventing an endless cycle of acknowledgments of acknowledgments. Nor shall a Functional Acknowledgment be sent to report errors in a previous Functional Acknowledgment.
   The Functional Group Header Segment (GS) is used to start the envelope for the Functional Acknowledgment Transaction Sets. In preparing the functional group of acknowledgments, the application sender's code and the application receiver's code, taken from the functional group being acknowledged, are exchanged; therefore, one acknowledgment functional group responds to only those functional groups from one application receiver's code to one application sender's code.
   There is only one Functional Acknowledgment Transaction Set per acknowledged functional group.
2. AK1 is used to respond to the functional group header and to start the acknowledgement for a functional group. There shall be one AK1 segment for the functional group that is being acknowledged.
3. AK2 is used to start the acknowledgement of a transaction set within the received functional group. The AK2 segments shall appear in the same order as the transaction sets in the functional group that has been received and is being acknowledged.

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Segment: **ISA** **Interchange Control Header**
Position: 005
Loop:
Level: Heading
Usage: Mandatory
Max Use: 1
Purpose: To start and identify an interchange of zero or more functional groups and interchange-related control segments
Example: **ISA~00~ ~00~ ~01~112836044 ~ZZ~097362933 ~030131~1650~U~ 00400~000000011~0~P~>**

Data Element Summary

Ref. Des.	Data Element	Name	Attributes	
ISA01	**I01**	**Authorization Information Qualifier** Use "00"	**M**	**ID 2/2**
ISA02	**I02**	**Authorization Information** Use Ten Spaces	**M**	**AN 10/10**
ISA03	**I03**	**Security Information Qualifier** Use "00"	**M**	**ID 2/2**
ISA04	**I04**	**Security Information** Use Ten Spaces	**M**	**AN 10/10**
ISA05	**I05**	**Interchange ID Qualifier** Use "01" or other applicable codes	**M**	**ID 2/2**
ISA06	**I06**	**Interchange Sender ID** DUNS Number. Left Justify, Space Fill	**M**	**AN 15/15**
ISA07	**I05**	**Interchange ID Qualifier** Use "01" or other applicable codes	**M**	**ID 2/2**
ISA08	**I07**	**Interchange Receiver ID** DUNS Number. Left Justify, Space Fill	**M**	**AN 15/15**
ISA09	**I08**	**Interchange Date** Date of Creation	**M**	**DT 6/6**
ISA10	**I09**	**Interchange Time** Time Of Creation	**M**	**TM 4/4**
ISA11	**I10**	**Interchange Control Standards Identifier** Use "U" for U.S.	**M**	**ID 1/1**
ISA12	**I11**	**Interchange Control Version Number** Use "00401"	**M**	**ID 5/5**
ISA13	**I12**	**Interchange Control Number** A control number assigned by the interchange sender	**M**	**N0 9/9**
ISA14	**I13**	**Acknowledgment Requested** Use "0" for no Ack. Req., Use "1" for Ack. Req	**M**	**ID 1/1**
ISA15	**I14**	**Usage Indicator** Use "T" For Test or "P" For Production Refer to 004010 Data Element Dictionary for acceptable code values.	**M**	**ID 1/1**
ISA16	**I15**	**Component Element Separator** Type is not applicable; the component element separator is a delimiter and not a data element; this field provides the delimiter used to separate component data elements within a composite data structure; this value must be different than the data element separator and the segment terminator	**M**	**AN 1/1**

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Segment: **GS** **Functional Group Header**
Position: 007
Loop:
Level: Heading
Usage: Mandatory
Max Use: 1
Purpose: To indicate the beginning of a functional group and to provide control information
Syntax Notes:
Semantic Notes: **1** GS04 is the group date
3 GS06 in this header must be identical to the same data element in the associated functional group trailer
Example: **GS~FA~112836044~097362933~20030131~1650~11~X~004010**

Data Element Summary

Ref. Des.	Data Element	Name	Attributes	
GS01	**479**	**Functional Identifier Code** Use "PS" for Planning Schedule	**M**	**ID 2/2**
GS02	**142**	**Application Sender's Code** Use Duns Number	**M**	**AN 2/15**
GS03	**124**	**Application Receiver's Code** Use Duns Number	**M**	**AN 2/15**
GS04	**373**	**Date** Creation Date	**M**	**DT 8/8**
GS05	**337**	**Time** Creation Time	**M**	**TM 4/8**
GS06	**28**	**Group Control Number** Start with 1 and increment by 1 for each subsequent GS Segment	**M**	**N0 1/9**
GS07	**455**	**Responsible Agency Code** Use "X"	**M**	**ID 1/2**
GS08	**480**	**Version / Release / Industry Identifier Code** Use "004010"	**M**	**AN 6/6**

Segment: **ST** **Transaction Set Header**
Position: 010
Loop:
Level:
Usage: Mandatory
Max Use: 1
Purpose: To indicate the start of a transaction set and to assign a control number
Comments:
Example: **ST~997~0001**

Data Element Summary

Ref. Des.	Data Element	Name	Attributes	
ST01	**143**	**Transaction Set Identifier Code** Code uniquely identifying a Transaction Set Refer to 004010 Data Element Dictionary for acceptable code values	**M**	**ID 3/3**
ST02	**329**	**Transaction Set Control Number** Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	**M**	**AN 4/9**

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Segment: **AK1** **Functional Group Response Header**
Position: 020
Loop:
Level:
Usage: Mandatory
Max Use: 1
Purpose: To start acknowledgment of a functional group
Syntax Notes:
Semantic Notes: **1** AK101 is the functional ID found in the GS segment (GS01) in the functional group being acknowledged.
2 AK102 is the functional group control number found in the GS segment in the functional group being acknowledged.
Example: **AK1~SH~22**

Data Element Summary

Ref. Des.	Data Element	Name	Attributes	
AK101	**479**	**Functional Identifier Code** Code identifying a group of application related transaction sets	**M**	**ID 2/2**
AK102	**28**	**Group Control Number** Assigned number originated and maintained by the sender	**M**	**N0 1/9**

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Segment: **AK2** **Transaction Set Response Header**
Position: 030
Loop: AK2 Mandatory
Level:
Usage: Mandatory
Max Use: 1
Purpose: To start acknowledgment of a single Transaction Set
Syntax Notes:
Semantic Notes: **1** AK201 is the transaction set ID found in the ST segment (ST01) in the transaction set being acknowledged.
2 AK202 is the transaction set control number found in the ST segment in the transaction set being acknowledged.
Example: **AK2~856~000123557**

Data Element Summary

Ref. Des.	Data Element	Name	Attributes	
AK201	**143**	**Functional Identifier Code** Code uniquely identifying a Transaction Set	**M**	**ID 3/3**
AK202	**329**	**Transaction Set Control Number** Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	**M**	**AN 4/9**

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Segment: **AK5** **Transaction Set Response Trailer**
Position: 060
Loop: AK2 Mandatory
Level:
Usage: Mandatory
Max Use: 1
Purpose: To start acknowledge acceptance or rejection and report errors in a transaction set
Syntax Notes:
Semantic Notes:
Example: **AK5~A**

Data Element Summary

Ref. Des.	Data Element	Name	Attributes	
AK501	**717**	**Transaction Set Acknowledgment Code** Code indicating accept or reject condition based on the syntax editing of the transaction set	**M**	**ID 1/4**
AK502	**718**	**Transaction Set Syntax Error Code** Code indicating error found based on the syntax editing of a transaction set	**O**	**ID 1/3**

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Segment: **AK9** **Functional Group Response Trailer**
Position: 070
Loop:
Level:
Usage: Mandatory
Max Use: 1
Purpose: To acknowledge acceptance or rejection of a functional group and report the number of included transaction sets from the original trailer, the accepted sets, and the received sets in this functional group
Syntax Notes:
Semantic Notes:
Example: **AK9~A~1~1~1**

Data Element Summary

Ref. Des.	Data Element	Name	Attributes	
AK901	**715**	**Functional Group Acknowledgment Code** Code indicating accept or rejecet condition based on the syntax editing of the functional group	**M**	**ID 1/1**
AK902	**97**	Number of Transaction Sets Included Total number of transaction sets included in the functional group or interchange (transmission" group terminated by the trailer containing this data element	**M**	**N0 1/6**
AK903	**123**	**Number of Received Transaction Sets** Number of Transaction Sets received	**M**	**N0 1/6**
AK904	**2**	**Number of Accepted Transaction Sets** Number of accepted Transaction Sets in a Functional Group	**M**	**N0 1/6**
AK905	**716**	**Functional Group Syntax Error Code** Code indicating error found based on the syntax editing of the functional group header and/or trailer	**O**	**ID 1/3**

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Segment: **SE** **Transaction Set Trailer**
Position: 080
Loop:
Level:
Usage: Mandatory
Max Use: 5
Purpose: To indicate the end of the transaction set and provide the count of the transmitted segments (including the beginning (ST) and ending (SE) segments)
Comments: **1** SE is the last segment of each transaction set.
Example: **SE~6~0001**

Data Element Summary

Ref. Des.	Data Element	Name	Attributes	
SE01	**96**	**Number of Included Segments** Total number of segments included in a transaction set including ST and SE segments	**M**	**N0 1/10**
SE02	**329**	**Transaction Set Control Number** Identifying control number that must be unique within the transaction set functional group assigned by the originator for a transaction set	**M**	**AN 4/9**

Segment: **GE** **Functional Group Trailer**
Position: 030
Loop:
Level: Summary
Usage: Optional
Max Use: 1
Purpose: To indicate the end of a functional group and to provide control information
Syntax Notes:
Semantic Notes: **1** The data interchange control number GE02 in this trailer must be identical to the same data element in the associated functional group header, GS06.
Example: **GE~1~11**

Data Element Summary

Ref. Des.	Data Element	Name	Attributes	
GE01	**97**	**Number of Transaction Sets Included** Total number of transaction sets included in the functional group or interchange (transmission) group terminated by the trailer containing this data element	**M**	**N0 1/6**
GE02	**28**	**Group Control Number** Assigned number originated and maintained by the sender	**M**	**N0 1/9**

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Segment: **IEA** **Interchange Control Trailer**
Position: 040
Loop:
Level: Summary
Usage: Optional
Max Use: 1
Purpose: To define the end of an interchange of zero or more functional groups and interchange-related control segments
Syntax Notes:
Semantic Notes:
Example: **IEA~1~000000011**

Data Element Summary

Ref. Des.	Data Element	Name	Attributes	
IEA01	**I16**	**Number of Included Functional Groups** A count of the number of functional groups included in an interchange	**M**	**N0 1/5**
IEA02	**I12**	**A control number assigned by the interchange sender**	**M**	**N0 9/9**

Sample 830 EDI

Benteler to Vendor
ISA~00~ ~00~ ~01~112836044 ~ZZ~097362933 ~030131~1650~U~00400~000000011~0~P~>
GS~FA~112836044~097362933~20030131~1650~11~X~004010
ST~997~0001
AK1~SH~22
AK2~856~000123557
AK5~A
AK9~A~1~1~1
SE~6~0001
GE~1~11
IEA~1~000000011

Vendor to Benteler
ISA*00* *00* *01*938307675 *01*112836044 *030131*1319*U*00400*000596015*0*P*>
GS*FA*938307675*112836044*20030131*1319*596015*X*004010
ST*997*000596015
AK1*SS*522
AK2*862*0001
AK5*A
AK9*A*1*1*1
SE*6*000596015
GE*1*596015
IEA*1*000596015